

CR geometry and conformal foliations

Michael Eastwood

[joint work with Paul Baird]

Australian National University

Disclaimers and references

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The implicit function theorem

If $M \hookrightarrow N$ is a hypersurface (\leftarrow non-singular)

Then $M = \{f = 0\}$ locally (\leftarrow non-degenerate)

OK if M and N are smooth ($\Rightarrow f$ smooth)

OK if M and N are complex ($\Rightarrow f$ holomorphic)

What if M and N are CR? ($\Rightarrow f$ CR)?

Yes if M and N are also real-analytic

No in general!

CR geometry

$H \subseteq TM$ and $J : H \rightarrow H$ such that

- $J^2 = -\text{Id}$ ($\Rightarrow \text{rank}_{\mathbb{R}} H$ is even)
- $[H^{0,1}, H^{0,1}] \subseteq H^{0,1}$ where $H^{0,1} \equiv \{X \mid JX = -iX\}$

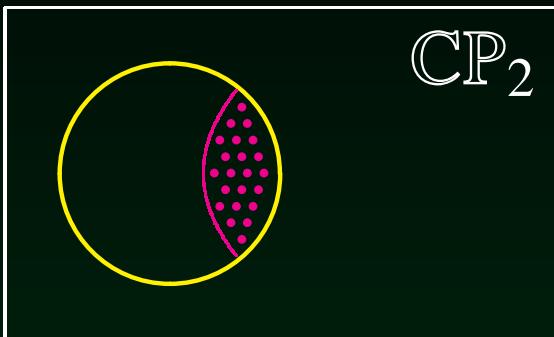
Examples

- $H = TM$ where M is a complex manifold
- $M^{2n-1} \hookrightarrow \mathbb{C}^n$ and $H \equiv TM \cap JTM$,
a CR manifold of hypersurface type
- $Q \equiv \{[Z] \in \mathbb{CP}_3 \mid |Z_1|^2 + |Z_2|^2 = |Z_3|^2 + |Z_4|^2\}$, the
Levi-indefinite hyperquadric in \mathbb{CP}_3

$f : M \rightarrow \mathbb{C}$ is a CR function $\Leftrightarrow Xf = 0 \ \forall X \in \Gamma(H^{0,1})$

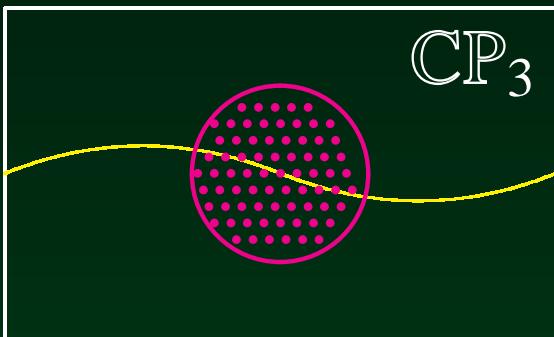
CR functions

$\{[Z] \in \mathbb{CP}_2 \mid |Z_1|^2 + |Z_2|^2 = |Z_3|^2\} = \text{ three-sphere}$



Theorem (H. Lewy 1956)
CR \Rightarrow holomorphic extension

$\{[Z] \in \mathbb{CP}_3 \mid |Z_1|^2 + |Z_2|^2 = |Z_3|^2 + |Z_4|^2\} = Q$



Corollary
CR \Rightarrow holomorphic extension

Hence, a CR function on Q is real-analytic!

CR submanifolds of Q

Suppose $Q \supseteq \Omega^{\text{open}} \xrightarrow{f} \mathbb{C}$ is a CR function.

Then $M \equiv \{f = 0\} \subset \Omega$ is a CR submanifold,
i.e. $TM \cap H$ is preserved by J .

Conversely, suppose

- $M \subset \Omega^{\text{open}} \subseteq Q$ is a 3-dim $^\ell$ CR submanifold
- M is real-analytic^{*}.

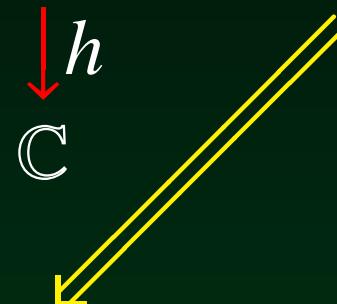
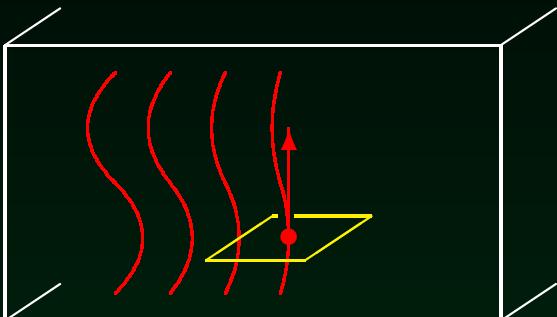
Then

- $M = \tilde{M} \cap Q$ for $\tilde{M} \subset \mathbb{CP}_3$ a complex hypersurface
- $M = \{f = 0\}$ for $f : \Omega \rightarrow \mathbb{C}$ CR and real-analytic.

*Q: Can we drop real-analyticity? A: NO

Conformal foliations

U = unit vector field on $\Omega^{\text{open}} \subseteq \mathbb{R}^3$.



U is transversally conformal
 $\Leftrightarrow \mathcal{L}_U$ preserves the conformal metric orthogonal to its leaves

$$h = f + ig \quad \langle df, dg \rangle = 0 \\ \|df\|^2 = \|dg\|^2$$

$$U \lrcorner \omega = 0$$

$$\langle \omega, \omega \rangle = 0$$

$$\omega \wedge d\omega = 0$$

$$\langle dh, dh \rangle = 0$$

$$dh = \omega$$

$$\langle \omega, \omega \rangle = 0$$

$$d\omega = 0$$

• • •

Integrable Hermitian structures

Suppose $J : T\mathbb{R}^4 \rightarrow T\mathbb{R}^4$ satisfies

- $J^2 = -\text{Id}$ $\Rightarrow J = \begin{bmatrix} 0 & -u & -v & -w \\ u & 0 & -w & v \\ v & w & 0 & -u \\ w & -v & u & 0 \end{bmatrix}$
- $J \in \text{SO}(4)$ $u^2 + v^2 + w^2 = 1$
- $[T^{0,1}, T^{0,1}] \subseteq T^{0,1}$ where $T^{0,1} \equiv \{X \mid JX = -iX\}$

$$\mathbb{R}^3 = \{(p, q, r, s) \in \mathbb{R}^4 \mid p = 0\} \subset \mathbb{R}^4$$

$$\text{Then } U \equiv \left(J \frac{\partial}{\partial p}\right) \Big|_{\mathbb{R}^3} = \left(u \frac{\partial}{\partial q} + v \frac{\partial}{\partial r} + w \frac{\partial}{\partial s}\right) \Big|_{\mathbb{R}^3}$$

is transversally conformal.

Twistor fibration

$$\begin{array}{ccc} \mathbb{CP}_3 \setminus \{z_3 = z_4 = 0\} & \ni & [z_1, z_2, z_3, z_4] \\ \downarrow \tau & & \downarrow \\ \mathbb{R}^4 & \ni & \left[\begin{array}{c} p + iq \\ r + is \end{array} \right] = \frac{1}{|z_3|^2 + |z_4|^2} \left[\begin{array}{c} z_2 \bar{z}_3 + z_4 \bar{z}_1 \\ z_1 \bar{z}_3 - z_4 \bar{z}_2 \end{array} \right] \end{array}$$

$$\tau^{-1}(x) \cong \left\{ J : T_x \mathbb{R}^4 \rightarrow T_x \mathbb{R}^4 \mid \begin{array}{l} J^2 = -\text{Id} \\ J \in \text{SO}(T_x \mathbb{R}^4) \end{array} \right\}$$

Theorem A section $\mathbb{R}^4 \supset \text{open } \Omega \xrightarrow{J} \mathbb{CP}_3$ of τ defines an integrable Hermitian structure if and only if $\tilde{M} \equiv J(\Omega)$ is a complex submanifold.

Twistor fibration cont'd

$$\mathbb{CP}_3 \setminus \{z_3 = z_4 = 0\} \ni [z_1, z_2, z_3, z_4]$$

$$\downarrow \tau$$

$$\downarrow$$

$$\mathbb{R}^4 \ni \begin{bmatrix} p + iq \\ r + is \end{bmatrix} = \frac{1}{|z_3|^2 + |z_4|^2} \begin{bmatrix} z_2\bar{z}_3 + z_4\bar{z}_1 \\ z_1\bar{z}_3 - z_4\bar{z}_2 \end{bmatrix}$$

$$\cup$$

$$\mathbb{R}^3 = \{p = 0\}$$

$$\tau^{-1}(\mathbb{R}^3) = \{[z] \in \mathbb{CP}_3 \mid \Re(z_2\bar{z}_3 + z_4\bar{z}_1) = 0\} = Q \setminus \mathbb{I}$$

$$\tau^{-1}(x) \cong \{U \in T_x \mathbb{R}^3 \mid \|U\|^2 = 1\}$$

$Q \setminus \mathbb{I} \cong \text{unit sphere bundle}$

Theorem A section $\mathbb{R}^3 \supseteq \text{open } \Omega \xrightarrow{U} Q$ of $\tau : Q \setminus \mathbb{I} \rightarrow \mathbb{R}^3$ defines a conformal foliation if and only if $M \equiv U(\Omega)$ is a CR submanifold.

The story so far

$$\mathbb{CP}_3 \supset Q = S(TS^3)$$

Compactify:

$$\begin{array}{ccc} \downarrow & & \downarrow \\ S^4 & \supset & S^3 \end{array}$$

$$\begin{array}{ccccccc} \mathbb{CP}_3 & \supset & Q & & & & \\ \cup & & \cup & & & & \\ \text{complex surface} & = & \tilde{M} & \supset & M & = & \text{CR three-fold} \\ \uparrow & & \uparrow & & & & \\ \text{Hermitian structure} & ? & \text{conformal foliation} & & & & \end{array}$$

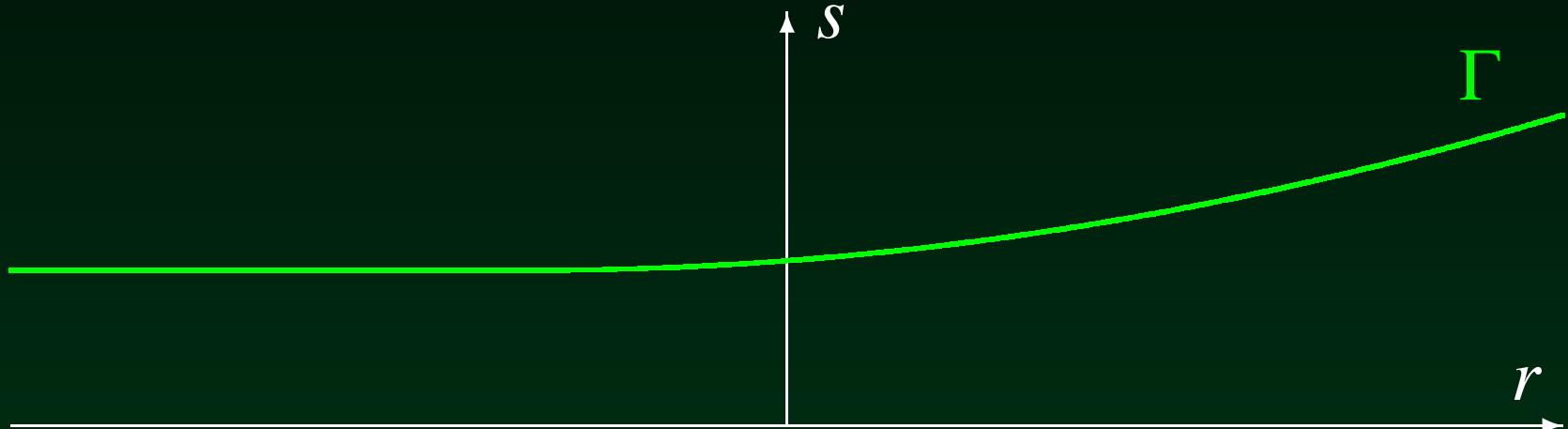
(Proofs: check in local coördinates)

Real-analytic case: $M = \tilde{M} \cap Q$ et cetera

Smooth counterexample

Eikonal equation: $\left(\frac{\partial f}{\partial r}\right)^2 + \left(\frac{\partial f}{\partial s}\right)^2 = 1$

Plenty of non-analytic solutions:



$f = \text{signed distance to } \Gamma$

$$\left. \begin{array}{lcl} f(q, r, s) & = & f(r, s) \\ g(q, r, s) & = & q \end{array} \right\} \Rightarrow \boxed{\begin{array}{l} \langle df, dg \rangle = 0 \\ \|df\|^2 = \|dg\|^2 \end{array}}$$

QED

Real-analytic refinements

$\omega = \mathbb{C}$ -valued real-analytic null 1-form on $\Omega^{\text{open}} \subseteq \mathbb{R}^3$

••• $\omega \wedge d\omega = 0$

○○○ $\sigma \wedge d\omega = 0 \quad \forall \sigma \text{ s.t. } \langle \sigma, \omega \rangle = 0$

*** $d\omega = 0$

*** \Rightarrow ○○○ \Rightarrow •••

••• $\leftrightarrow \tilde{M} \hookrightarrow \mathbb{CP}_3$

○○○ $\leftrightarrow \tilde{S} \hookrightarrow \mathbb{C}^4 \setminus \{0\} \quad (\text{and } \pi(\tilde{S}) = \tilde{M})$

*** $\leftrightarrow \tilde{S} \hookrightarrow \mathbb{C}^4 \setminus \{0\} \quad \text{such that } \tilde{S} \text{ is } \underline{\text{Lagrangian}}$

Explicit formulæ

$$\left. \begin{array}{lcl} Q & \supset & \mathbb{C} \times \mathbb{R}^3 \ni (z, q, r, s) \\ \cap & & \cap \\ \mathbb{CP}_3 & \supset & \mathbb{C}^3 \ni (z, z_1, z_2) \end{array} \right\} \begin{array}{l} z_1 = (r + is)z - iq \\ z_2 = iqz - (r - is) \end{array}$$

$$\omega \equiv 2z dq + i(1 + z^2) dr + (1 - z^2) ds$$

- $\langle \omega, \omega \rangle = 0$
- $\omega \wedge d\omega = 2 dz \wedge dz_1 \wedge dz_2$

$z = z(q, r, s)$ implicitly by $z = \Phi(z_1, z_2)$ holomorphic

$$dz = \frac{\partial \Phi}{\partial z_1} dz_1 + \frac{\partial \Phi}{\partial z_2} dz_2 \Rightarrow \underbrace{\omega \wedge d\omega = 0}_{\bullet\bullet\bullet}$$

Explicit formulæ cont'd

$$\left. \begin{array}{l} \mathbb{C}^2 \times \mathbb{R}^3 \ni (w, z, q, r, s) \\ \cap \\ \mathbb{C}^4 \ni (w, z, z_1, z_2) \end{array} \right\} \begin{array}{l} z_1 = (r + is)z - iqw \\ z_2 = iqz - (r - is)w \end{array}$$

$$\omega \equiv 2wz \, dq + i(w^2 + z^2) \, dr + (w^2 - z^2) \, ds$$

- $\langle \omega, \omega \rangle = 0$
- $d\omega = 2i(dz \wedge dz_1 - dw \wedge dz_2)$
 $= 2id(z \, dz_1 - w \, dz_2)$

$$\begin{aligned} z &= z(q, r, s) \\ w &= w(q, r, s) \end{aligned}$$

by $z \, dz_1 - w \, dz_2 = d(\Xi(z_1, z_2))$
NB: Lagrangian w.r.t.
 $dz \wedge dz_1 - dw \wedge dz_2$

$$d\omega = 0 \quad ***$$



HAPPY BIRTHDAY!

KEIZO YAMAGUCHI

and

REIKO MIYAOKA

